

Breathing pattern and thoracoabdominal motion in mouth-breathing children

Padrão respiratório e movimento toracoabdominal de crianças respiradoras orais

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Abstract

Objective: To characterize the breathing pattern and thoracoabdominal motion of mouth-breathing children aged between eight and ten years and to compare these characteristics with those of nose-breathing children of the same ages. **Methods:** This observational study was carried out in a university laboratory. The sample size of 50 subjects was estimated based on the results of a pilot study with ten children in each group (total of 20 children) and considering a significance level of 0.05 and statistical power of 0.80. Twenty-six mouth-breathing and 25 nose-breathing children participated. Calibrated respiratory inductive plethysmography was used to analyze the following variables, among others: respiratory frequency (f), rib cage contribution towards tidal volume (%RC/Vt), phase angle (PhAng) and the ratio between time taken to reach peak inspiratory flow and total inspiratory time (PifT/Ti). Peripheral oxygen saturation of hemoglobin (SpO₂) was measured using pulse oximetry. Statistical analysis was performed using the Student's t test for independent groups or the Mann-Whitney U test, according to the sample distribution of the variables. **Results:** A total of 4,816 respiratory cycles were analyzed: 2,455 from mouth-breathers and 2,361 from nose-breathers, with a mean of 94 cycles per child. No statistically significant differences were observed between the groups, for the variables studied (f=20.00±2.68 versus 20.73±2.58, p=0.169; %RC/Vt=39.30±11.86 versus 38.36±10.93, p=0.769; PhAng=14.53±7.97 versus 13.31±7.74, p=0.583; PifT/Ti=57.40±7.16 versus 58.35±5.99, p=0.610; SpO₂=96.42±1.52% versus 96.88±1.01%, p=0.208; respectively). **Conclusions:** These results suggest that mouth-breathing children show breathing patterns and thoracoabdominal motion that are similar to those of nose-breathing children in the same age group.

Key words: mouth breather; nose breather; breathing pattern; chest physical therapy; children.

Resumo

Objetivo: Caracterizar o padrão respiratório e o movimento toracoabdominal de crianças respiradoras orais, na faixa etária entre oito e dez anos, e compará-lo ao de seus pares respiradoras nasais. **Métodos:** Estudo observacional realizado em laboratório universitário. O número amostral calculado com base em um estudo piloto com dez crianças em cada grupo, perfazendo um total de 20 crianças, foi de 50 para um nível de significância de 0,05 e um poder estatístico de 0,80. Participaram do estudo 26 crianças respiradoras orais e 25 respiradoras nasais. A pletismografia respiratória por indutância calibrada foi o instrumento utilizado para a análise das seguintes variáveis, entre outras: frequência respiratória (FR), contribuição da caixa torácica para o volume corrente (%CT/Vc), ângulo de fase (Angfase) e a razão entre o tempo para alcançar o pico de fluxo inspiratório e o tempo inspiratório (PifT/Ti). A saturação periférica da hemoglobina em oxigênio (SpO₂) foi medida pela oximetria de pulso. A análise estatística foi realizada por meio do teste t de Student para grupos independentes e do teste U de Mann-Whitney, em função da distribuição das variáveis. **Resultados:** No total, 4.816 ciclos respiratórios foram analisados, sendo 2.455 de respiradores orais e 2.361 de respiradores nasais, com média de 94 ciclos por criança. Não houve diferença significativa entre os grupos nas variáveis estudadas (FR=20,00±2,68 versus 20,73±2,58, p=0,169; %CT/Vc=39,30±11,86 versus 38,36±10,93, p=0,769; Angfase=14,53±7,97 versus 13,31±7,74, p=0,583; PifT/Ti=57,40±7,16 versus 58,35±5,99, p=0,610; SpO₂=96,42±1,52% versus 96,88±1,01%, p=0,208; respectivamente). **Conclusões:** Estes resultados sugerem que as crianças respiradoras orais apresentam padrão respiratório e movimento toracoabdominal semelhantes às de respiradores nasais de mesma faixa etária.

Palavras-chave: respirador oral; respirador nasal; padrão respiratório; fisioterapia respiratória; criança.

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Introduction : : : .

The human physiological breathing pattern is nasal, regardless of age^{1,2}, because the nose has three important functions: heating, filtering, and moistening the air that is inhaled^{3,4}. Any factor that leads to upper respiratory tract (URT) obstruction causes nose breathing to be replaced by mouth breathing^{1,5}. According to the literature, mouth breathing may cause changes in the respiratory pattern, such as an increase in respiratory frequency, associated with a reduced amplitude^{6,7} and the need to use accessory inspiratory muscles to overcome the high nasal resistance⁸.

Mayer et al.⁹ analyzed thoracoabdominal synchrony in healthy children (aged three to five) by means of plethysmography. The authors concluded that this procedure successfully evaluated the synchronism of thoracoabdominal motion and suggested that this variable should be integrated to the evaluation measurements of the respiratory function of children with all types of respiratory disorders.

The search for scientific information that may guide clinical practice has been considered relevant by health professionals¹⁰. In the literature, there are no studies that evaluate the respiratory pattern of mouth-breathing children^{6,7}. A systematic study focusing on this particular issue might contribute to its evaluation as well as to the therapeutic approach.

Thus, the aim of this study was to characterize the respiratory pattern and the thoracoabdominal motion of school children aged eight to ten with a clinical diagnosis for mouth-breathing, and compare them to those of nose-breathing children.

Methods : : : .

Sample

The sample number was calculated based on a pilot study involving 20 children, ten of which were mouth-breathers (MB) and ten nose-breathers (NB). The sample size was estimated at 50 for both groups together considering a $\alpha=0.05$ level of significance (non-directional analysis) and a 0.80 statistical power. Children aged eight to ten were selected non-randomly and allocated into two groups: group 1 comprised 26 children with a clinical diagnosis of mouth-breathing, selected from the Mouth-Breather Out-Patient Clinic of Universidade Federal de Minas Gerais (UFMG) and from the local community; group 2 comprised 25 NB children, selected from the local community. The criteria for inclusion

in the MB group were: mouth-breathing predominance confirmed by clinical tests, medical diagnosis of URT obstruction, interview with the parents and direct observation of lip closure loss. For the NB group, the criteria for inclusion were: nose-breathing predominance confirmed by clinical tests, interview with the parents and direct observation of the presence of lip closure¹¹. All children had a body mass index (BMI=kg/m²) below the 95th percentile¹², a report of respiratory pathology in the lower respiratory tract, such as asthma or bronchiectasis, and no previous or current physical therapy intervention.

The weight and height were measured by means of a scale equipped with a stadiometer (Filizola, São Paulo, Brazil); the heart rate (HR) and the peripheral oxygen saturation of hemoglobin (SpO₂) were measured with a pulse oximeter (Datex-Ohmeda, Louisville, CO, USA). The children's parents or guardians were informed and instructed about the procedures, which were only carried out after they had read and signed the consent form. The study was approved by the Research Ethics Committee of UFMG (ETIC 265/04).

Instruments

In order to carry out the research, an inductance plethysmography system was used (Respirace®, Nims, Miami, FL, USA). It is a highly reliable instrument used to monitor the volume and timing of breathing patterns and the thoracoabdominal motion through changes in the transverse section of the compartments of the rib cage (RC) and abdomen (AB)¹³⁻¹⁵. The calibration procedure has been described in previous studies^{16,17}. In this study, the following variables of the respiratory cycle were analyzed by the plethysmography: tidal volume (Vt), respiratory frequency (f), minute ventilation (VE), ratio of time to peak inspiratory flow to inspiratory time (PifT/Ti), mean inspiratory flow (Vt/Ti), rib cage contribution towards Vt(%RC/Vt), and phase angle (PhAng).

Procedures

Initially, an interview was conducted with the child's guardian in order to fill in the first part of the form with the data collection. After the interview, we measured mass, height, f (respiratory frequency), HR, and SpO₂. Next, the child was placed comfortably in an armchair, with resting feet, trunk at 90° in relation to the hip and upper limbs resting on the armchair with the aid of pillows to ensure that the shoulder girdle was relaxed. The child was instructed to remain still during the procedure, and one examiner noted down any abnormal movement.

The respiratory pattern variables and the thoracoabdominal motion variables were recorded by means of plethysmography for 20 minutes. The first ten minutes were set aside for calibration and signal stabilization and the remainder of the time for the analysis itself.

Statistical analysis

The statistical analysis consisted of descriptive measurements, displayed as means and standard deviation, for the different variables relating to the sample characterization and to the respiratory pattern. In order to test the normality of each variable, we used the Kolmogorov-Smirnov test. When the variable had a normal distribution both in the mouth- and nose-breathing groups, the *t* test for independent samples was applied. When the variable had a distribution that was not normal in each group of children separately, or in one of them, we applied Mann-Whitney U test for the inferential comparative analysis between the groups¹⁸. A $\alpha=0.05$ level of significance was adopted in the analyses. The statistical analyzes were carried out with the software Statistical Package for Social Sciences 11.0 (SPSS, Chicago, IL, USA).

Results

Sixty children were initially invited to take part in the study. Seven of them were excluded: four for having a BMI above the 95th percentile; one due to a URT infection; one because of a medical diagnosis for bronchitis; and one for having a congenital heart murmur. Thus, the sample was reduced to 53 children. However, it was not possible to analyze the plethysmographic record of two of these children, due to its degree of irregularity. Therefore, the data for these children were excluded, and the study was carried out with a total of 51 children, 26 of which were MB, and 25 NB. All of the children were able to perform all of the procedures.

The MB group consisted of seven girls (three with allergic rhinitis, three with adenoid hypertrophy, one with allergic rhinitis associated with adenoid hypertrophy) and 19 boys (seven with allergic rhinitis, four with adenoid hypertrophy, two with adenoid and tonsil hypertrophy, two with undetermined cause) with mean age of 8.81 ± 0.80 years, mass of 31.71 ± 6.02 kg, height of 1.35 ± 0.07 m, BMI of 17.47 ± 2.24 kg/m², SpO₂ of $96.42\pm 1.52\%$ and HR of 87.11 ± 9.57 bpm.

The NB group was comprised of 12 girls and 13 boys, with mean age of 9.08 ± 0.81 years, mass of 32.61 ± 7.47 kg, height of 1.36 ± 0.09 m, BMI of 17.37 ± 2.09 kg/m², SpO₂ of $96.88\pm 1.01\%$, and

HR of 87.40 ± 9.42 bpm. The age, mass, SpO₂ and BMI variables did not have normal distribution in any of the groups, leading us to include the Mann-Whitney statistical U test in the analysis. The *t* test for independent groups was used to analyze the height and HR. There was no significant difference between both groups with regard to age, mass, height, BMI, SpO₂, or HR ($p=0.230$, $p=0.873$, $p=0.470$, $p=0.932$, $p=0.208$ and $p=0.915$, respectively).

During data collection, there was a technical problem with the Vitatrace table spirometer used for the calibration of the tidal volume, which made it impossible to use the data of the calibrated Vt and of the variables that used Vt for calculation, namely the minute volume and the mean inspiratory flow. This occurred as we collected the data from the last 18 children (eight MB and ten NB). Therefore, the data from these variables were analyzed in a group of 33 children (18 MB and 15 NB). The MB group consisted of three girls and fifteen boys with a mean age of 8.72 ± 0.75 years, mass of 30.84 ± 4.03 kg, height of 1.34 ± 0.05 m, BMI of 17.23 ± 1.88 kg/m² and SpO₂ of $96.27\pm 1.56\%$. The NB group comprised nine girls and six boys with mean age of 9.20 ± 0.77 years, mass of 33.41 ± 8.05 kg, height of 1.36 ± 0.09 m, BMI of 17.65 ± 2.17 kg/m² and SpO₂ of $96.80\pm 0.86\%$.

Mass and height showed normal distribution in both groups, therefore the *t* test for independent groups was applied. Age, BMI, and SpO₂ did not have a normal distribution, therefore the Mann-Whitney U test was applied. There was no significant difference between the two groups regarding age, mass, height, BMI, or SpO₂ ($p=0.083$, $p=0.245$, $p=0.356$, $p=0.406$ and $p=0.356$, respectively).

In the present study, a total of 4,816 respiratory cycles were analyzed, 2,455 of which corresponded to MB and 2,361 to NB, with a mean of 94 cycles per child. Table 1 displays the variables in the respiratory pattern and thoracoabdominal motion of these 33 children. In the comparison between the MB and NB groups, there was no significant difference in any of the analyzed variables. Table 2 shows the variables relative to the time component of respiratory pattern and thoracoabdominal motion, evaluated in the 51 children. These variables are independent from volume calibration in the plethysmograph record, i.e. f, %RC/Vt, PhAng, and PifT/Ti. There was no significant difference between the two groups in any of the variables.

Figure 1 shows two plethysmographic records of mouth-breathing children: a steady trace indicative of calm breathing without asynchrony, and a trace with atypical curves of the thoracoabdominal motion observed when the children performed active nasal aspiration, making asynchrony evident.

Table 1. Breathing pattern and thoracoabdominal motion data from 18 mouth-breathing and 15 nose-breathing children.

Variables	MB	NB	p value
Vt (ml)	203.43±90.26	200.93±55.87	0.735
f (bpm)	19.76±2.32	20.92±3.02	0.294
VE (l/min)	3.93±1.62	4.12±1.04	0.381
Vt/Ti (ml/s)	175.31±70.04	182.00±42.07	0.381
PiFt/Ti (%)	57.97±6.74	58.74±6.50	0.741
RC/Vt (%)	35.65±8.09	37.84±11.90	0.674
PhAng (°)	14.87±8.58	15.28±8.91	0.556

Data are presented as mean ± SD. MB refers to mouth-breathing children; NB to nose-breathing children; Vt to tidal volume; f to respiratory frequency; VE to minute ventilation; Vt/Ti to mean inspiratory flow; PiFt/Ti to the ratio of time to reach peak inspiratory flow to inspiratory time; %RC/Vt to rib cage contribution towards Vt and PhAng to Phase Angle that measures asynchrony between thoracic and abdominal compartments. The Mann-Whitney U test was used to analyze the following variables: Vt, f and VE, and the *t* test for independent groups was used to analyze Vt/Ti, %RC/Vt, PhAng and PiFt/Ti.

Table 2. Breathing pattern (time variables) and thoracoabdominal motion data from 26 mouth-breathing and 25 nose-breathing children.

Variables	MB	NB	p value
f (bpm)	20.00±2.68	20.73±2.58	0.169
PiFt/Ti (%)	57.40±7.16	58.35±5.99	0.610
RC/Vt (%)	39.30±11.86	38.36±10.93	0.769
PhAng (°)	14.53±7.97	13.31±7.74	0.583

Data are presented as mean ± SD. MB refers to mouth-breathing children; NB to nose-breathing children; f to respiratory frequency; PiFt/Ti to the ratio of time to reach peak inspiratory flow to inspiratory time; %RC/Vt to rib cage contribution towards tidal volume; and PhAng to Phase Angle that measures asynchrony between thoracic and abdominal compartments. The Mann-Whitney U test was used to analyze the following variables: f, %RC/Vt and PhAng and the *t* test for independent groups was used to analyze the PiFt/Ti.

Discussion

According to the authors' knowledge, this is the first study to evaluate the respiratory pattern and thoracoabdominal motion of mouth-breathing children in a systematized manner. The main findings were that the mouth-breathing children behaved as the nose-breathing children with regard to volume and time of the respiratory pattern, as well as thoracoabdominal motion.

The literature is very scarce with regard to the study of the respiratory pattern in children. No previous studies were found concerning the evaluation of the volume variables in children's respiratory pattern; thus, the values for Vt, VE and Vt/Ti observed in this study will be discussed in relation to those found by Feltrim in 40 adults¹⁹. The Vt was the value that showed the greatest discrepancy (42 and 46%), and may be related to the fact that the children's lungs are still

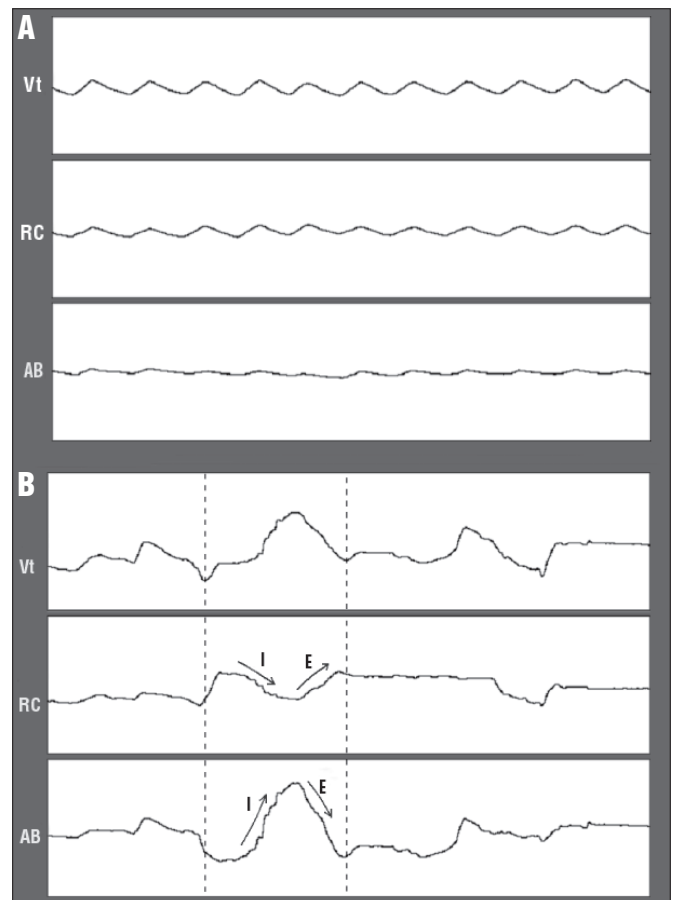


Figure 1. Waveform traces from Vt, from rib cage displacement (RC) and abdomen (AB). A. rest breathing, without asynchrony signs. B. active nasal aspiration, showing asynchrony. The arrows indicate the direction of motion: during inspiration (I), RC moves down while AB moves up; during expiration (E), RC moves up and AB moves down. The traces sections (A and B) refer to 30 seconds of the records of mouth-breathing children.

in a developmental stage, unlike adults, whose lungs are fully developed²⁰. Proportionally, the data relative to the VE observed in this study (77 and 81%) showed a greater similarity to those relative to the Vt. One must consider that the VE is the product of Vt and f. Because the f at rest observed in the children was relatively higher than that observed in young adults, there may have been a partial compensation^{21,22}. One can suggest that the increased f would be a physiological compensatory mechanism for the diminished Vt, resulting in VE values close to those in adults. In regard to Vt/Ti, which reflects the action of the respiratory center, i.e. the ventilatory drive, the discrepancy between children and adults was the smallest observed (89 and 90%). In the absence of neurological lesions that might interfere with the action of the respiratory center, it can be assumed that the behavior of the ventilatory drive, i.e., the urge to breathe, is similar in adults and children²³.

One of the limitations of this study was the fact that there was no equivalence between the number of girls and boys in the MB and NB groups, despite the efforts to achieve that and the fact that there was no significant difference in age between the MB and NB groups. According to the literature, there is no difference between men and women concerning the respiratory pattern in adults^{19,24}. Another limitation was the impossibility to analyze the components of the respiratory pattern volume of the 51 children. One must take into account the fact that variables such as time and thoracoabdominal motion of the respiratory pattern had very similar mean values in the groups of 33 and 51 children.

Mayer et al.⁹ studied thoracoabdominal time and synchrony variables in 50 children, analyzing a range of 24 and 45 respiratory cycles per child. In the present study, there was a considerably higher number of respiratory cycles, which guarantees a greater consistency in the values observed. It is worth noting that both studies analyzed children belonging to different age groups. One may question whether the absence of a significant difference between the groups, made evident in the present study, might be related to the fact that the variables for volume were studied in 33 children, therefore not reaching the sample calculation. Nonetheless, for the analysis of the data relative to the time variables (f , PhAng, and PifT/Ti) the data relative to the 51 children were used as an object of study, thus reaching the number predicted in the sample calculation. The mean values found in the groups of 33 children and of 51 children (Tables 1 and 2) were similar. This suggests that the absence of a significant difference in the variables of the respiratory pattern volume, analyzed in only 33 children, may have had a similar behavior had they been analyzed with the data of the 51 children. Therefore this would not constitute a type-2 error, that is, the absence of statistical significance may indeed indicate a probable absence of this effect in the sample¹⁸.

The f had mean values which corroborate the literature related to children of the same age group^{21,22}. The PifT/Ti reflects the inspiratory time necessary to achieve peak inspiratory flow, i.e. a percentage of the inspiratory time. According to Sackner^{13,14}, contacted via personal electronic mail, there are currently no reference values for breathing at rest. However, in his investigations and clinical observations carried out in adults, he observed values ranging from 40 to 60%. The mean of the PifT/Ti values were close to the high-end limit in both groups evaluated in this study. There was also no difference between the groups and, therefore, one may speculate about whether this variable reflects a partial URT obstruction. The variables of the thoracoabdominal motion also showed a behavior similar to that of the respiratory pattern, i.e., very similar mean values in the groups of 33 and 51 children. This reinforces

the consideration made previously in relation to the sample calculation.

We observed a smaller %RC/Vt, and this result is different if compared to those found by other authors. In the study by Verschakelen and Demedts²⁴, the subgroup of individuals aged ten to 20 years had a higher %RC/Vt in the seated position. This discrepancy may be due to the low compliance of the abdominal wall in that position, which favors the motion of the rib cage because the abdominal wall is stiffer from a biomechanical point of view²⁵. According to Krakauer and Guilherme², the abdominal compliance is greater until age eight due to the immaturity of the abdominal muscles. At this age, the process of muscle maturation begins. The children of both groups evaluated in the present study showed a greater abdominal predominance even though these muscles had already started the process of development². It is also worth mentioning that the children are undergoing a process of alveolar multiplication and bone mineralization of the RC²⁰.

In the ten to 20 age group, the abdominal muscles have already matured, but the number of alveoli is still on the rise as is the process of bone mineralization, which may allow a greater displacement of the rib cage. In the study by Feltrim¹⁹, the mean age of the adult individuals was close to 30, both genders showed a similar proportion of RC and AB contribution to the Vt. In this age group, both the process of alveolar formation and mineralization of the rib cage is finished, and the abdominal muscles are mature. This might favor the proportional displacement between the RC and AB during calm breathing, as the RC and AB have a similar rigidity from a biomechanical point of view²⁵.

The thoracoabdominal motion was almost synchronic in both groups of children, as can be observed by the low PhAng values, showing indexes ranging from 0 (synchronic) to 180° (asynchronic)²⁶. These results are similar to those found by Mayer et al.⁹, when the children analyzed were seated. The literature mentions that the PhAng is increased when there is an obstruction of the airways, when there is an increase in respiratory overload and when diseases affect the thoracic wall²⁷⁻²⁹. Seeing that these values remained low in the present study, one may argue whether URT obstruction could interfere with thoracoabdominal synchronism.

Considering the findings in the respiratory pattern and in the thoracoabdominal motion, one can infer that MB children develop compensatory strategies to deal with the consequences of mouth breathing, without the appearance of noticeable adaptations in the analyzed variables.

It is important to highlight that in both plethysmographic records excluded from this study there was a similar change in the curves. This atypical trace was repeated several times in the

records of two children, coinciding with the presence of active nasal aspiration, probably due to the presence of significant posterior dripping, i.e., the draining of secretion in the nasal-pharyngeal airway³⁰. One may speculate that this 'maneuver' to displace the secretion, with posterior swallowing, could be related to the need to generate a sub-atmospheric pressure during the inspiration. This would overcome the increased resistance of the nasal-pharyngeal airway, although the oral airway

itself contributes to reduce the resistance to air intake⁴. This situation occurred in the absence of any exacerbation of the state of health, respecting the criteria for inclusion.

In short, the results of the present study that evaluated children at rest showed no significant difference between MB and nose-breathers. Future studies should consider the evaluation of MB children in maximal effort tests as well as the investigation of specific URT changes.

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